

# SoftMark: Software Watermarking via a Binary Function Relocation

Honggoo Kang  
Korea University  
Seoul, South Korea  
honggoonin@korea.ac.kr

Sangjin Lee  
Korea University  
Seoul, South Korea  
sangjin@korea.ac.kr

Yonghwi Kwon  
University of Virginia  
Charlottesville, Virginia, USA  
yongkwon@virginia.edu

Hyungjoon Koo\*  
Sungkyunkwan University  
Suwon, South Korea  
kevin.koo@skku.edu

## ABSTRACT

The ease of reproducibility of digital artifacts raises a growing concern in copyright infringement; in particular, for a software product. Software watermarking is one of the promising techniques to verify the owner of licensed software by embedding a digital fingerprint. Developing an ideal software watermark scheme is challenging because i) unlike digital media watermarking, software watermarking must preserve the original code semantics after inserting software watermark, and ii) it requires well-balanced properties of credibility, resiliency, capacity, imperceptibility, and efficiency. We present SOFTMARK, a software watermarking system that leverages a function relocation where the order of functions implicitly encodes a hidden identifier. By design, SOFTMARK does not introduce additional structures (*i.e.*, codes, blocks, or subroutines), being robust in unauthorized detection, while maintaining a negligible performance overhead and reasonable capacity. With various strategies against viable attacks (*i.e.*, static binary re-instrumentation), we tackle the limitations of previous reordering-based approaches. Our empirical results demonstrate the practicality and effectiveness by successful embedding and extraction of various watermark values.

## CCS CONCEPTS

• Security and privacy → Software security engineering.

## KEYWORDS

Software Watermarking, Watermark, Function Reordering, Function Relocation, Binary Instrumentation

## ACM Reference Format:

Honggoo Kang, Yonghwi Kwon, Sangjin Lee, and Hyungjoon Koo. 2021. SoftMark: Software Watermarking via a Binary Function Relocation. In *Annual Computer Security Applications Conference (ACSAC '21)*, December

\*Corresponding author

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than ACM must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from [permissions@acm.org](https://www.acm.org/permissions).

ACSAC '21, December 6–10, 2021, Virtual Event, USA

© 2021 Association for Computing Machinery.

ACM ISBN 978-1-4503-8579-4/21/12...\$15.00

<https://doi.org/10.1145/3485832.3488027>

6–10, 2021, Virtual Event, USA. ACM, New York, NY, USA, 13 pages. <https://doi.org/10.1145/3485832.3488027>

## 1 INTRODUCTION

Today, a vast usage of digital data makes our life convenient by sharing them with others due to its trivial reproducibility by nature. However, the ease of both data duplication and distribution raises unfavorable consequences, that is, copyright infringement when digital contents (*e.g.*, pictures, movies, TV episodes, software) are illegally copied, distributed, or publicly presented without the owner's permission. The number of disputes over copyrights on pirated materials gradually increases; in particular, software piracy is a significantly growing concern. According to the survey conducted by BSA [10], 37% of the whole software around the globe have been estimated as illegitimate or unlicensed with the commercial value of \$46.3 billion.

Digital watermarking is one of promising techniques for recognizing the originality of digital works. It covertly inserts a unique digital fingerprint into digital contents such as text, image, audio, and video so that the ownership of the contents can be identified by revealing the embedded fingerprint. In a similar vein, software watermarking is a technique that aims to provide the digital fingerprint of a software product by inserting certain information that represents its owner or distributor. Then, the identifier of every software copy offers the traceability because it belongs to a unique customer upon the purchase of software.

Software watermarking is effective against an adversary who wants to run a copyrighted program free of charge, revealing neither the identity of the attacker nor the original owner of the program. The adversary may attempt to reverse-engineer a watermark embedding process as well as unauthorized detection. While it is nearly impossible to achieve complete prevention against all viable attacks, a desirable software watermarking scheme should be able to provide a sufficient level of stealthiness and resilience that renders such attacks extremely expensive, or severely discourages attackers. To this end, as with previous work [14, 21, 23, 27, 42, 49, 67], we identify key properties (requirements) of software watermarking techniques: *Credibility*, *Capacity*, *Imperceptibility*, *Resiliency*, *Spread*, and *Efficiency* (See §2 in detail).

For the last few decades, diverse software watermarking [23, 28, 70] approaches have been proposed, including reordering-based [22, 30, 51, 53, 55], graph-based [14, 18, 32, 48, 49, 69],

obfuscated-based [4, 5, 11, 15, 33, 41, 66], and branch-based [26] approaches. Depending on where/how the watermark is inserted and verified, software watermarking techniques in the literature can be classified into static [4, 22, 30, 32, 41, 48, 49, 51, 53, 55] or dynamic [14, 18] approaches. A static watermarking technique does not need to run a program whereas a dynamic watermarking technique extracts a watermark at runtime. Unfortunately, we observe that the existing approaches have difficulty in achieving desirable balances between the properties, particularly resiliency and imperceptibility.

In this paper, we propose SOFTMARK, a software watermarking technique on top of a function relocation scheme. Since reliable relocation of binary functions is extremely challenging, our technique is highly resilient against varying attacks including unauthorized detection, illegal corruption and collusion. Moreover, SOFTMARK is implicitly encoded, leveraging the location of pre-selected functions in a target program; *each order of the functions maps into a secret identifier*.

Our watermarking scheme has addressed several drawbacks of previous reordering based approaches [22, 42] by adopting fruitful strategies that impose significant challenges to watermarking corruption techniques via static binary instrumentation. First, SOFTMARK does not introduce any codes, blocks, or subroutines to a target program, which empirically demonstrates negligible runtime and space overheads. Second, the presence of a watermark is difficult to reveal by a statistical analysis or inference unless multiple instances are collusively collected. Third, SOFTMARK conveys a relatively high capacity for watermark encoding, which is proportional to the size of a program (*i.e.*, A set of  $n$  functions can represent up to  $\lfloor \log_2 n! \rfloor$  bits). Fourth, the design of SOFTMARK shows a reasonable resiliency even under semantic-preserving code transformation by inserting multiple watermarks across a broad spectrum of functions. It is noteworthy mentioning that we select a set of unique functions with a variety of strategies that make reliable code transformation challenging. To implement SOFTMARK, we employ CCR [35], a special compiler toolchain that emits metadata for instrumenting a variant with a watermark.

In summary, we make the following contributions:

- We propose SOFTMARK, an efficient watermarking system via a function relocation based encoding, resolving most of the prior limitations.
- We have designed and implemented a prototype of SOFTMARK to meet the requirements of a practical software watermarking technique against various viable attacks.
- We experimentally evaluate SOFTMARK with real world applications, demonstrating the effectiveness and practicality of our approach.

The source code of SOFTMARK will be publicly available in the near future to foster further watermarking research.

## 2 SOFTWARE WATERMARKING

In this section, we discuss the definition, requirements, existing approaches and threat model of software watermarking.

### 2.1 Problem Definition

The objective of software watermarking is to provide a reliable identification service to be able to claim the ownership of a software product. In a nutshell, software watermarking consists of two separate processes: i) embedding a unique signature and ii) extracting the signature for verification. Formally, the processes of software watermarking are defined as follows:

*Definition 1.* Given an original program ( $P$ ) and a watermark ( $W$ ), software watermarking consists of two functions; i) a watermark embedder function is  $F_{embed}(P, W) = P_W$  where  $P_W$  is a program with the embedded watermark  $W$ , and ii) a watermark extractor function,  $F_{extract}$ , extracts the watermark  $W'$  from  $P_W$  with metadata  $M_v$ , and verifies the extracted watermark  $W'$  with

$$F_{extract}(P_W, M_v) = \begin{cases} W' & \text{if } W = W' \text{ (Valid),} \\ -1 & \text{if } W \neq W' \text{ (Invalid)} \end{cases}$$

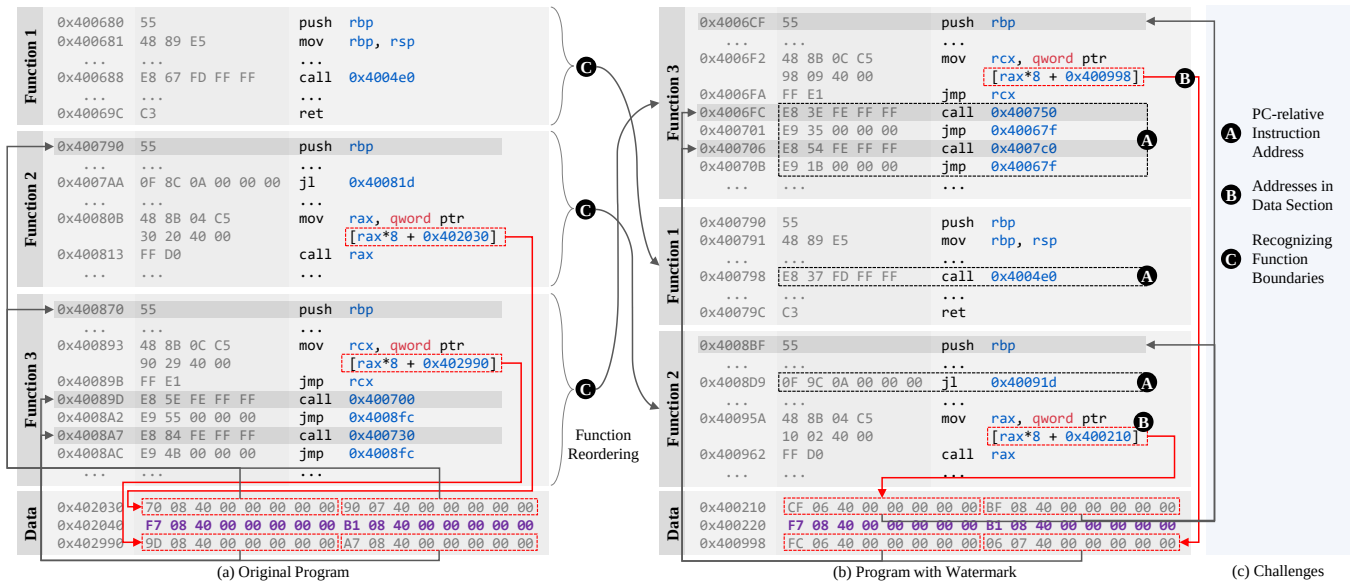
### 2.2 Requirements

As with previous work [14, 21, 23, 27, 42, 49, 67] on software watermarking, we informally define six key properties (metrics) to evaluate the effectiveness of the watermarking scheme. Note that any watermarking system exhibits a *trade-off between these metrics*; a high capacity (data rate) implies low stealth and resilience.

- **Resiliency:** A watermark must be robust against varying corruption attempts: watermark invalidation, tampering, addition or deletion. Moreover, even when a target software with the watermark has been altered, an ideal watermark scheme should maintain its validity or (at least) remain partially recoverable.
- **Spread:** An ideal watermark should be distributed all over a program to protect as many parts as possible. A well-distributed watermark offers probabilistically better resiliency.
- **Credibility:** A watermark should be reliably recoverable for the proof of the authorship. A false positive case (*i.e.*, extracting a watermark from software without a watermark) or false negative case (*i.e.*, failing to extract a watermark from software with a watermark) should be minimal.
- **Capacity:** A watermarking algorithm should be able to convey a certain amount of information (*i.e.*, data rate) within a target program. It is desirable to quantitatively compute the maximum length of the watermark that can be encoded inside the program.
- **Efficiency:** A watermarking scheme should have a negligible impact on a target program in terms of performance and space overhead.
- **Imperceptibility:** A watermark should be stealthy (like invisible or inaudible data from video/audio files) enough not to be detected by an adversary. A program with the watermark must be indistinguishable from another without the one.

### 2.3 Threat Model

It is a common belief that, a determined adversary with a sufficient amount of resources will eventually be able to defeat any watermarking systems. Hence, our objective is to develop a watermarking technique that substantially thwarts every reasonable effort with feasible resources in practice, rather than building an unbreakable scheme. With this in mind, in this section, we describe



**Figure 1: Motivational example.** Each column represents instruction addresses, machine codes in bytes and disassembled instructions of the original program (left) and the one with a watermark (right).

a threat model with several assumptions, followed by a group of viable attacks.

**Code Signing.** A program can be digitally signed to prevent unauthorized changes [34]. Code signing involves with a cryptographic signing process using a public/private key pair that uniquely belongs to a program owner where the public key has been certified from the trusted third party. By signing code, an adversary’s ability to damage a watermark is severely limited, because code modification is disallowed<sup>1</sup>. However, code signing does not provide traceability of the binary, which SOFTMARK aims to provide. Code signing is a common practice: most benign software products are signing codes, and even malware campaigns take advantage of it [37]. Hence, it is reasonable to assume that a software copyright owner intends to leverage both code signing and watermarking to better protection, however, we assume that a target program may or may not be digitally signed.

**Attack Scenario.** We assume an adversary who may i) perform code manipulation or transformation when code signing has not been applied, ii) have the knowledge of the way how a watermark can be embedded, iii) collusively possess multiple program instances where each of which contains a different watermark, and iv) have a watermark extractor without the details of a program. We assume that the attacker does not have access to both the master binary (*i.e.*, metadata containing function locations) and bookkeeper (or ledger) that contains useful information pertaining to a watermark (*e.g.*, key functions used for the watermark, as described in §4.3.3), because an adversary can create a new unauthorized watermark with those information. Note that protecting the master binary and the bookkeeper from leakage (*e.g.*, insider threat [8, 9]) is out of scope.

<sup>1</sup>A stolen certificate to sign a binary program after modifications (*e.g.*, Stuxnet [64]), is orthogonal to our approach, hence out of the scope.

**Attack Types.** A robust software watermarking scheme should be able to thwart different types of attacks under our threat model. We classify such threats into three major groups as follows.

- **Unauthorized Detection** represents a risk that an attacker recognizes the presence of a watermark within a program. Hiding the presence of the watermark is of utmost importance, while developing a perfect detection-proof watermark is extremely difficult due to unexpected side channels. This attack corresponds to the property of imperceptibility.
- **Illegal Corruption** encompasses exhaustive attacks that aim to destroy a legitimate watermark by i) insertion (*i.e.*, additive attack that attempts to implant another valid watermark), ii) deletion (*i.e.*, subtractive attack that attempts to completely eliminate a valid watermark), iii) alteration (*i.e.*, tampering attack that attempts to counterfeit part or full of a valid watermark), or iv) distortion (*i.e.*, ambiguity attack that attempts to puzzle a detector by applying semantic-preserving code transformations on a target program). These attacks correspond to the properties of credibility, resiliency and spread.
- **Collusive Attack** aims to identify the location of a watermark by comparing multiple instances wherein different watermarking fingerprints have been embedded. A successful collusive attack leads to the location of or specific pattern (rule) of a watermark, without using a legitimate watermark extractor.

### 3 DEMONSTRATIVE EXAMPLE

Since SOFTMARK inserts a watermark via the order of functions (§4), a major threat arises from attacks leveraging semantic-preserving code transformation. This section demonstrates watermark embedding and extraction of SOFTMARK with an example, focusing on the difficulty of the transformation even with full accuracy.

**Target Program.** The original program in Figure 1 (a) has three functions that contain various code constructs including direct

call/jump (e.g.,  $0x400688$  and  $0x4007AA$ ) and indirect call/jump (e.g.,  $0x400813$  and  $0x40089B$ ) instructions. **SOFTMARK** would select a set of functions from all function candidates for reordering.

**Watermark with a Function Order.** Given the three functions in the example, six ( $= 3!$ ) possible orders can represent up to two bits as in Table 1. In principle, an individual watermark has a one-to-one mapping with a particular order of selected functions; e.g.,  $11_2$  can be encoded at the order of  $F_3-F_1-F_2$  in Figure 1 (b).

**Table 1: Function Order and Watermark Mapping.**

Function Order	$F_1-F_3-F_2$	$F_2-F_1-F_3$	$F_2-F_3-F_1$	$F_3-F_1-F_2$
Watermark Value	$00_2$	$01_2$	$10_2$	$11_2$

**Watermark Embedding.** In our scheme, inserting a watermark essentially means generating a variant of the original program with relocated functions where the order of functions representing the watermark. Such code transformation inherently involves with a vast number of updating instructions such as immediate operands. Going back to the example, direct call/jump instructions (e.g., E8 or E9 in x86) can be trivially updated by recalculating the immediate operands (A). However, indirect call/jump instructions require reference updates in a jump table that resides in the data region (B), which is non-trivial. A runtime error would occur if any exercising code pointer update were failed. Moreover, successful function relocation requires a clear function boundary (C) because it may break the original semantics otherwise.

To exemplify, the values at  $0x400998$  in (b) in the data section point to the call instructions at  $0x4006FC$  and  $0x400706$  that have been relocated from  $0x40089D$  and  $0x4008A7$ . If any of those addresses has not been updated properly, the program would cause a runtime error. Similarly, the function pointers at  $0x400210$  in (b) that point to the function 2 and 3 must be appropriately updated according to the functions' new addresses. This imposes a non-trivial challenge to those who attempt to compromise our watermark by relocating functions. Moreover, another challenge is to identify an accurate boundary between code pointers and raw data. In this example, the values in purple at  $0x402040$  in (a) and  $0x400220$  in (b) are scalar data (i.e., not code pointers) between two jump tables, which are indistinguishable from surrounding code pointers. To launch a successful attack, an adversary should be able to differentiate the boundary of code and data, which is undecidable. **SOFTMARK** takes advantage of a special compilation toolchain [35] that produces metadata for reliable static binary instrumentation (e.g., function boundary and jump table), and record unique information for a watermark when generating a mutation corresponding to the watermark. Note that the metadata produced by [35] is critical and kept secret from adversaries (Details in §7).

**Watermark Extraction.** It is straightforward to extract an embedded watermark. We can identify the order of functions with the recorded information, followed by decoding a watermark according to Table 1. Ensuring the integrity of a target binary, we discuss the case when the binary has been compromised in §4.4.

## 4 SOFTMARK DESIGN

This section describes the design of **SOFTMARK** that satisfies the requirements (§2.2) against various attacks (§2.3) when embedding and extracting a watermark.

### 4.1 Overview

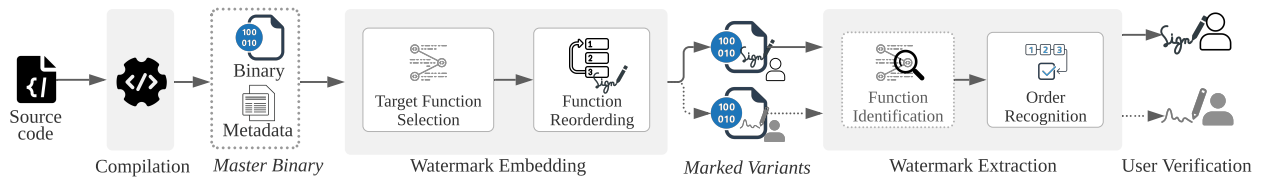
Figure 2 depicts a workflow of **SOFTMARK**. First, we employ a special compiler toolchain [35] to compile a given program from the source code. During the compilation, the toolchain generates metadata (e.g., locations of functions) for reliable static binary instrumentation, required for our watermarking embedding. We call the pair of the binary and metadata *master binary*. Second, we analyze the binary and choose  $n$  reorderable function candidates that can represent  $k$  bits of data with different orders of the  $n$  functions. Then, we generate a variant of the target program with a unique fingerprint via reordering of  $n$  functions. We also record the fingerprint and its associated identifier in a ledger (accessible merely by a product owner). Third, we extract the watermark from a binary by identifying the function order. Finally, a user associated with the extracted watermark is identified by looking it up the ledger.

### 4.2 Benefits of Our Approach

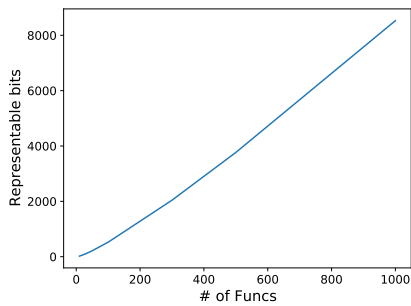
The benefit of a static approach, including **SOFTMARK**, is twofold: i) inexpensive; it can be easily adopted in large-scale applications at a low cost, ii) robust; a dynamic approach relatively suffers from watermark corruption as reversing techniques advance.

**Advantages over Existing Techniques.** We aim to mitigate previous drawbacks to meet the requirements of software watermarking (§2.2). Our function-reordering-based watermark approach offers the following three advantages. First, reordering functions is a semantic preserving transformation; that is, watermark insertion does not affect the original program's semantic because **SOFTMARK** does not introduce any additional code, blocks or subroutines to a target program. While the relocated functions may change cache behaviors at runtime, our assessment demonstrates that its impact on the performance overhead is negligible (§6.5.2). Second, introducing no supplementary structure gives a relatively lower chance for attackers to recognize the presence of a watermark with a statistical analysis or inference. One conceivable scenario is a collusive attack that acquires multiple instances with different watermarks, which may unveil the *presence of a watermark* (i.e., by identifying the locations of the same functions between the instances). Nonetheless, our watermark stays resilient against any attempt of watermark extraction (§4.4) because the mapping information between a watermark and an order is still concealed in a private ledger. Third, the number of reorderable functions can reach up to an increasingly large number of encodings (i.e.,  $n!$  with  $n$  functions); e.g., 10 different functions can produce millions of permutations, offering a high data-rate encoding as the size of an application (and typically the number of functions) increases.

**Existing Reordering-based Approaches.** Reordering-based techniques are the closest existing approaches to **SOFTMARK**. However, unlike **SOFTMARK**, they suffer from three major limitations. First, they are perceptive; Myles et al. [42]'s approach could be easily detectable because its implementation relies on inserting a



**Figure 2: Overview of SOFTMARK’s Workflow.** A software product owner prepares a master binary with metadata, and analyze it beforehand. A watermark is embedded with function reordering from pre-selected functions. Watermarking extraction verifies the watermark once identification of functions and their orders is complete.



**Figure 3: The number of representable bits is proportional to the number of functions.**

large number of GOTO statements to maintain the original control flow. Second, they are forgeable; rearranging a structure can be accomplished with a trivial effort [52, 53, 55]. Third, they are fragile; watermarks were not resilient to arbitrary modifications at the instruction level [28].

### 4.3 Watermark Embedding

In this section, we develop various techniques used in SOFTMARK to enhance the effectiveness of watermark embedding.

**4.3.1 Encoding Size.** SOFTMARK’s watermark is encoded as the location information of functions in the target program. In other words, a particular order of a set of functions determines a certain watermark value. For example, consider a simple executable with three user-defined functions<sup>2</sup>. The number of possible orders among the three functions is  $3! = 6$ , and it can represent up to 2 bits of a watermark ( $2^2 < 3! < 2^3$ ); e.g.,  $00_2, 01_2, 10_2$ , and  $11_2$ . As the number of permutation of  $n$  distinct objects allows for  $n!$  different ways of function reordering in total, the number of possible watermarking bits can be asymptotically computed according to the Stirling’s formula [63] (approximation for factorials) as in Equation 1.

$$\log_2(n!) \approx \log_2(\sqrt{2\pi n}(\frac{n}{e})^n) \text{ where } n > 0 \quad (1)$$

Table 2 summarizes the number of functions and the size of required bits that represents a watermark accordingly, which can be determined by a watermarking policy. Figure 3 illustrates the linear relationship between the number of representable bits and the number of functions.

<sup>2</sup>We rule out linker-inserted functions during compilation because different linkers may introduce different number (and kind) of additional subroutines such as CRT.

**Table 2: Number of functions and the size of representable bits accordingly.** In our experiment, we insert a 256 bits long watermark that requires at least 58 functions for reordering, which can be adjustable according to a policy.

Functions	Representable bits	Bits	Required functions	Applicable examples
10	21	64	21	26 (433.milc, etc.)
20	61	128	35	26 (433.milc, etc.)
30	107	192	47	26 (433.milc, etc.)
50	214	256	58	26 (433.milc, etc.)
100	524	512	99	24 (482.sphinx3, etc.)
300	2041	1024	171	21 (456.hammer, etc.)
500	3767	2048	301	19 (puttygen, etc.)
1000	8529	4096	537	17 (400.perlbenc, etc.)

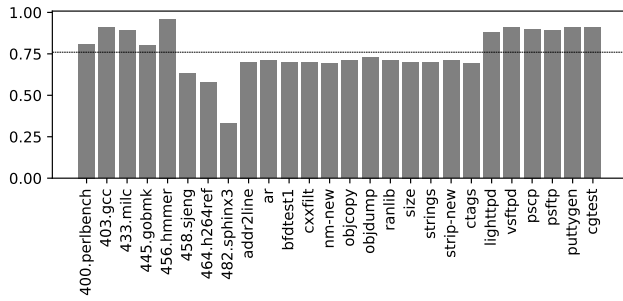
**4.3.2 Embedding Strategies.** A watermark embedding process must support a deterministic extraction process without ambiguity. Besides, it should offer both credibility and robustness against watermark corruption attempts. We develop strategies for deterministic, credible, and robust watermark embedding and extraction.

**Unique Function Candidates.** A program may contain multiple functions that have indistinguishable (*i.e.*, identical) binary code. We do not use such functions in our watermark embedding because our extractor cannot distinguish the locations of the functions (as well as the order between them). As an example, consider that a program consists of four functions  $F_1, F_2, F_3$ , and  $F_4$  where the last two are indistinguishable, then a watermark with an order of “ $F_3, F_1, F_4, F_2$ ” could be interpreted as “ $F_4, F_1, F_3, F_2$ ”. Such multiple interpretations raise a false positive case, violating the property of credibility. It is noteworthy mentioning that the selected functions in Table 2 should be *all unique*. Toward uniqueness of candidate functions, we define a unique function as the one that comprises a unique combination of basic blocks where each block has a unique sequence of instruction mnemonics<sup>3</sup>.

**Exclusion of Small Functions.** We empirically discover that approximately 76% of non-unique functions, on average, consists of a single basic block or even a single instruction in the programs for our evaluation (Figure 4). Note that, in such small functions, it is not rare that two functions have an identical sequence of instructions. Thus, we intentionally rule out those small functions from the candidate for a watermark. The column “Small” in Table 4 shows the number of small functions.

**Desirable Function Set.** Once we have the list of candidates to choose from, we carefully pick functions that make static binary

<sup>3</sup>We do not consider operands (*e.g.*, immediates) because they should be updated to obey the original flow while displacing a function.



**Figure 4: Ratio of small functions (i.e., containing a single basic block) of all non-unique functions. It ranges from 60% to 95% with an average of 76% (dotted line).**

instrumentation challenging. When we select a candidate, we prefer a function that has a trampoline containing code pointers (i.e., indirect jumps or calls) because displacing such a function raises the bar. Specifically, an attacker needs to update a data region that embodies both code pointers and scalar data values for successful binary instrumentation. The column “iCFT (indirect Control Flow Transfer)” in Table 4 shows the number of desirable functions containing indirect branches.

**Basic Block Reordering.** We apply a basic block transposition within a function against collusive attacks. Note that this does not affect the identification of unique function candidates because the order of basic blocks is not the factor of function uniqueness.

**Multiple Watermarks.** By design, we allow a software owner to be able to insert a watermark multiple times so that one could recover at least one of them when an active adversary attempts to tamper with the watermark by partial function relocation. We leave it as a hyperparameter,  $k$ , and empirically set it up as  $k = 3$ .

**Non-candidate Functions.** We randomly scatter all other functions that are not part of the functions for a watermark, increasing resiliency against collusive attacks. The order of scattered functions is deterministic for each watermark.

**4.3.3 Ledger (Bookkeeper).** Along with watermark embedding, an owner must maintain a private ledger (i.e., bookkeeper) that holds the list of functions and their locations (§2.3), the property of each function (e.g., index, indirect call invocation), and the pattern of basic blocks for further watermark extraction. In particular, a basic block can be recognized with two means: i) regular expression of byte values for a quick search and ii) the sequence of opcode mnemonics and sizes after disassembly for deep investigation (§5). Note that the ledger should remain undisclosed so that adversaries cannot acquire it.

## 4.4 Watermark Extraction

Extracting a watermark is a reversing process of the embedding process. We assume two possible scenarios in watermark extraction: a case that a target binary stays intact, and another case that the binary has been corrupted (or altered). Although it suffices to say that the extraction is a success or failure, our goal is either to

precisely obtain a genuine watermark (for the former case), or to partially recover it as a best-effort service (for the latter case).

**4.4.1 Function Identification.** As we deal with a stripped binary, it is required to recognize function boundaries. First, we confirm basic block patterns from the list of selected functions for a watermark, followed by seeking all blocks with those patterns. Then, a certain function can be identified if a set of basic blocks is present in the function.

**4.4.2 Extraction from Unmodified Binary.** As with a secret ledger, a watermark extraction is straightforward and precise without vagueness because we solely use unique functions for watermark embedding.

**4.4.3 Extraction from Modified Binary.** If a target binary has been corrupted, the information in a ledger for the master binary does not match, raising a failure of both watermark detection and extraction. In such a case, SOFTMARK attempts to extract a watermark from unmodified code parts because the authenticity of a corrupted function and its location cannot be trusted. As SOFTMARK can insert multiple watermarks across a wide range of original code, a partial extraction of those may sufficiently reveal the fingerprint.

## 5 IMPLEMENTATION

This section briefly describes SOFTMARK implementation. Our prototype currently supports ELF executables for the x86-64 platform on Linux. We leverage the CCR [35] toolchain based on a modified LLVM (v3.9.0) and gold linker (v2.27) to relocate functions and basic blocks. We developed our binary metadata analysis tool in Python, which takes a master binary that contains metadata for watermarking as an input. We use the `pyelftools` [7] for parsing an ELF format and the `capstone` [24] library as a disassembly engine.

**Basic Block Pattern Search.** We implemented two different techniques for seeking basic blocks as part of a function identification phase in Figure 2. By default, SOFTMARK discovers blocks in a ledger with a pattern using regular expressions under the assumption that a given binary has not been compromised, which allows for a quick search without a hassle. However, in case that the given binary has been modified (e.g., code transformation), it requires a deep search with a full disassembly process by matching instruction opcode and size (for recognizing the boundaries of blocks and functions). There is a coincidental case to take into account with the deep search where a consecutive functions have overlapping blocks. For example, if two functions of  $F_1$  and  $F_2$  share blocks like  $F_1 = (B_1, B_2, B_1)$  and  $F_2 = (B_2, B_1, B_3)$ , it would be problematic when SOFTMARK mistakenly recognizes  $F_1$  that comes from two blocks, say,  $(B_1)$  from  $F_1$  and  $(B_2, B_1)$  from  $F_2$ . Although we empirically observe that this rarely happens, we intentionally avoid such cases by re-embedding a watermark. Table 4 illustrates the comparison of embedding and extraction time between the regular-expression and disassembly-oriented implementation, whose difference is as orders of magnitude as large. Thus, it is recommended to try a deep search with full disassembly when only needed for further investigation.

## 6 EVALUATION

We evaluate SOFTMARK on a 64-bit Ubuntu 18.04 system equipped with Intel(R) Core™ i7-6700 3.40 GHz and 8GB RAM.

**Corpus.** We collect 26 binaries for SOFTMARK evaluation from various dataset including eight programs from SPEC CPU2006 [17], 11 samples of Binutils v2.27 from GNU Project [25], and seven utilities of our choice (e.g., *putty/pscp/psftp* v0.75 [58], *vsftpd* v2.3.4 [60], *ctags* v5.9.0 [19], and *lighttpd* v1.4.32 [38]). We have excluded applications that do not contain sufficient function candidates (i.e., at least 58 functions or above for embedding a 256-bit identifier in our experiment) such as *bzip2*, *mcf*, and *specrand*.

## 6.1 Resiliency

As SOFTMARK operates on watermark embedding and extraction solely at a binary level, we consider possible corruption attacks focusing on machine code.

**Distortion to Complicate Watermark Extractor.** As described in §2.3, our SOFTMARK scheme may be susceptible to a distortion attack with semantic-preserving code transformation [36, 45] because such an attack impedes the proof of original program’s authenticity during a watermark extraction process. Note that while those techniques do not change the location of a function, they may challenge a watermarking extraction process by breaking the integrity of code. Our disassembly-oriented basic block search is robust to operands distortion (e.g., register reordering and assignment) but opcode distraction (e.g., instruction substitution and reordering) may lower a survival rate (See §7 in detail). Note that the aforementioned attacks can be simply prevented with code signing.

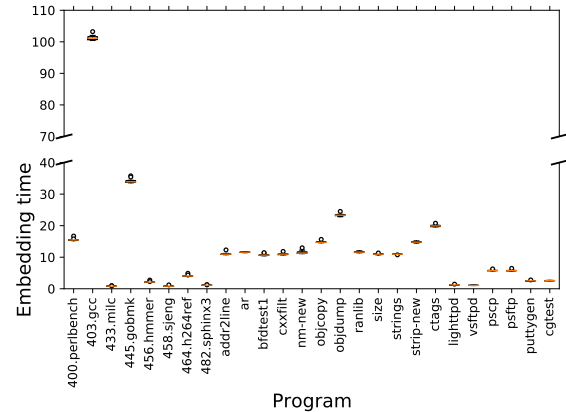
**Function Relocation.** As SOFTMARK relies on function reordering, it is susceptible to attacks that can relocate functions. Table 3 shows precision, recall, and F1 score of the function boundary detection technique implemented in the state-of-the-art disassembler, IDA Pro v7.2 [29]. The precision, recall, and F1 scores are 0.823, 0.521, and 0.629, on average, respectively. The results show that SOFTMARK successfully imposes significant challenges to adversaries in practice. We take ground truths from unstripped binaries with debugging information.

**Other Obfuscation Techniques.** We review varying obfuscation techniques offered by Sandmark [13] and others [13, 16, 31, 56, 62] to see whether they can be used to corrupt (i.e., attack) SOFTMARK. Since most approaches in Sandmark leverage obfuscation or optimization at a source code or Java Bytecode level to generate a valid watermark, which are not applicable to our context (i.e., binary level), we assess techniques that can be applied at a machine code level as following:

- **Code Insertion:** This attack aims to insert additional instructions (e.g., code displacement [36]), basic blocks, or functions. It will inevitably change the size of a target binary, rendering an attempt of distortion detectable. This partially thwarts our scheme by hindering function identification properly.
- **Constant Modification:** An attacker may corrupt constant values in a data region. However, it does not affect a SOFTMARK’s watermark, as our watermark scheme merely relies on the order of functions.
- **Basic Block Reordering:** An attacker may reorder basic blocks (as there are basic block reordering watermarking techniques) in a function. SOFTMARK is resilient to this type of attack since we recognize a target function with unique basic blocks that are agnostic to their orderings.

**Table 3: Precision, recall and F1 scores of function boundary detection with IDA Pro [29]. Identifying clear boundaries from stripped binaries using a state-of-the-art reversing tool is insufficient for binary instrumentation.**

Program	stripped binary		
	Precision	Recall	F1 Score
<i>400.perlbench</i>	0.828	0.611	0.703
<i>403.gcc</i>	0.804	0.576	0.671
<i>433.milc</i>	0.859	0.653	0.742
<i>445.gobmk</i>	0.830	0.245	0.379
<i>456.hmmcr</i>	0.880	0.505	0.642
<i>458.sjeng</i>	0.777	0.626	0.693
<i>464.h264ref</i>	0.856	0.693	0.755
<i>482.sphinx3</i>	0.843	0.594	0.697
<i>addr2line</i>	0.827	0.401	0.540
<i>ar</i>	0.832	0.414	0.553
<i>bfdtest1</i>	0.816	0.396	0.533
<i>cxxfilt</i>	0.836	0.406	0.547
<i>nm-new</i>	0.843	0.421	0.562
<i>objcopy</i>	0.826	0.464	0.594
<i>objdump</i>	0.818	0.475	0.601
<i>ranlib</i>	0.798	0.400	0.533
<i>size</i>	0.840	0.406	0.547
<i>strings</i>	0.803	0.393	0.527
<i>strip-new</i>	0.831	0.470	0.600
<i>ctags</i>	0.845	0.576	0.685
<i>lighttpd</i>	0.799	0.735	0.766
<i>vsftpd</i>	0.854	0.791	0.821
<i>pscp</i>	0.802	0.621	0.700
<i>psftp</i>	0.791	0.622	0.697
<i>puttygen</i>	0.780	0.515	0.620
<i>cgtest</i>	0.789	0.524	0.630



**Figure 5: Boxplot of embedding a watermark ten times. The results show that embedding time is quite consistent (i.e., low variance) for all programs.**

- **Branch Instruction Modification:** Modifying branch instructions may also complicate our scheme because it can alter an instruction opcode as well as a control flow change.

## 6.2 Spread

We evaluate how pre-selected functions are spread throughout a binary in SOFTMARK. Well spread functions enable a watermark to be robust against random modification of a binary; in other words,

**Table 4: Experimental Evaluation Dataset and Results. Pre Anal., Em., Ex., iCFT and O/H represent pre-analysis, embedding, extraction, indirect control flow transfer and a performance overhead, respectively.**

Program Name	Layout		Functions Candidates					Time with a regular expression (sec)					Time with a disassembly (sec)					O/H		
	Func	BBLs	Small	Unique	Not Unique	iCFT	iCFT Size Ratio (%)	Pre-Anal.	Em.	Ex. W1	Ex. W2	Ex. W3	Avg.	Pre-Anal.	Em.	Ex. W1	Ex. W2		Ex. W3	Avg.
<i>400.perlbench</i>	1,660	46,682	622	895	143	153	23%	60	15	2.1	1.6	1.8	1.8	27,426	16	3,372	309	1,432	1,704	-1.9%
<i>403.gcc</i>	4,329	118,085	1,924	2,206	199	515	30%	346	101	6.5	5.8	4.2	5.5	200,270	101	2,471	2,445	2,125	2,347	0.1%
<i>433.milc</i>	235	2,586	141	88	6	7	2%	2.2	0.8	0.3	-	-	0.3	12	0.8	6.8	-	-	6.8	-7.2%
<i>445.gobmk</i>	2,478	25,021	1,374	857	247	36	2%	51	34	0.7	1.0	0.8	0.8	6,282	34	352	139	133	208	-1.7%
<i>456.hammer</i>	470	10,154	221	237	12	30	14%	5.9	1.7	0.6	0.5	0.9	0.7	437	1.7	38	57	46	47	1.1%
<i>458.sjeng</i>	132	4,475	51	78	3	16	26%	2.3	0.9	0.6	-	-	0.6	25	0.9	20	-	-	20	0.6%
<i>464.h264ref</i>	518	13,986	227	259	32	36	9%	13	3.5	1.2	1.2	1.1	1.2	1,358	3.5	94	75	64	78	0.2%
<i>482.sphinx3</i>	318	5,350	152	155	11	7	4%	3.4	1.5	0.3	0.4	-	0.3	102	1.5	15	16	-	15	0.5%
<i>addr2line</i>	1,459	35,718	651	704	104	297	39%	39	11	0.7	0.9	2.2	1.3	13,534	11	804	630	846	760	-
<i>ar</i>	1,522	36,589	676	739	107	305	39%	40	11	1.2	0.9	0.6	0.9	14,982	12	797	812	782	797	-
<i>bfdtest1</i>	1,429	35,293	641	686	102	294	39%	39	10	0.8	0.6	1.9	1.1	11,459	11	645	662	646	651	-
<i>cxxfilt</i>	1,458	35,674	649	705	104	297	39%	39	12	0.7	0.9	2.1	1.2	13,045	12	735	653	865	751	-
<i>nm-new</i>	1,483	36,099	654	721	108	304	39%	40	11	1.1	0.6	1.1	0.9	12,760	12	699	639	640	659	-
<i>objcopy</i>	1,694	43,505	720	867	107	329	39%	58	14	3.6	4.0	1.1	2.9	28,679	15	822	1,044	987	951	-
<i>objdump</i>	1,888	49,149	780	988	120	369	24%	87	23	4.3	0.9	4.5	3.2	37,856	23	901	1,521	1,243	1,222	-
<i>ranlib</i>	1,522	36,589	676	739	107	305	39%	40	11	1.2	0.9	0.6	0.9	12,949	12	365	494	457	439	-
<i>size</i>	1,464	35,734	652	708	104	298	39%	40	11	0.9	0.5	1.7	1.0	11,450	11	632	664	631	642	-
<i>strings</i>	1,460	35,760	651	705	104	297	39%	39	11	0.8	0.6	2.2	1.2	13,972	11	701	656	669	675	-
<i>strip-new</i>	1,694	43,505	780	867	47	329	39%	64	15	3.6	4.1	1.1	2.9	25,440	15	1,124	1,131	1,096	1,117	-
<i>ctags</i>	2,886	42,717	1,325	1,150	411	225	16%	19	19	0.9	1.0	0.7	0.9	26,012	20	539	455	322	439	-
<i>lighttpd</i>	291	4,244	132	136	23	28	22%	1.4	1.2	0.5	0.1	-	0.3	89	1.2	12	20	-	16	-
<i>vsftpd</i>	510	3,474	335	143	32	9	3%	1.3	1.1	0.2	0.1	-	0.2	69	1.1	4.1	5.3	-	4.7	-
<i>pscp</i>	1,636	14,585	900	664	72	228	24%	15	4.2	0.5	0.3	0.4	0.4	2,304	4.3	116	138	149	134	-
<i>psftp</i>	1,653	14,716	906	671	76	219	22%	15	4.3	0.5	0.3	0.4	0.4	2,319	4.3	117	142	153	137	-
<i>puttygen</i>	881	7,167	512	328	41	50	13%	2.4	2.6	0.5	0.3	0.2	0.3	555	2.8	41	50	29	40	-
<i>cgtest</i>	891	7,336	514	335	42	50	12%	6.4	1.8	0.6	0.2	0.3	0.4	310	1.9	35	46	32	38	-

a successful attack requires a wider range of compromising code under our scheme (e.g., altering instructions or reordering functions). Table 5 shows the probability of a successful attack by the size of a watermark. Simply put, a watermark would be corrupted (i.e., failed to be extracted) when an adversary randomly chooses and alters a set of functions via distortion or reordering. Note that *433.milc* and *458.sjeng* do not have the results for 512 bits due to the lack of function candidates to represent those bits. Figure 6 depicts a probability curve by the number of functions and the representable bits. In a nutshell, the higher data rate increases the attack probability whereas the larger number of functions decreases it. Based on our experiment, we recommend to use at least 1,500 functions with a 256-bit watermark for reasonable robustness.

### 6.3 Credibility

A watermark is embedded based on a one-to-one mapping relationship of function order information. Hence, SOFTMARK can precisely identify the watermark as long as all functions are unique and successfully extracted. Note that we exclude functions that may cause a false positive case as described in §4.3.2. Recognizing the functions can be complete with a basic block pattern search described in §5.

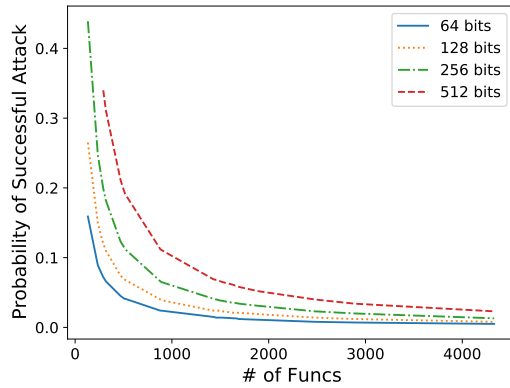
### 6.4 Capacity

We compare our approach with the one from Davidson et al. [22] that is based on basic block reordering in terms of capacity. As shown in Table 6, the data rate of SOFTMARK is significantly higher than that of the Davidson’s approach (up to 15 times for *482.sphinx3*). This is because our approach depends on the number of possible

**Table 5: Attack probabilities by the size of a watermark. The number of selected functions for a watermark in *400.perlbench* is 58 out of 1,660, that is, the probability of choosing the exact set of those functions is  $58/1,660 = 0.0349$ .**

Name	Attack probability			
	64 bits	128 bits	256 bits	512 bits
<i>400.perlbench</i>	0.0127	0.0211	0.0349	0.0596
<i>403.gcc</i>	0.0049	0.0081	0.0134	0.0229
<i>433.milc</i>	0.0894	0.1489	0.2468	-
<i>445.gobmk</i>	0.0085	0.0141	0.0234	0.0400
<i>456.hammer</i>	0.0447	0.0745	0.1234	0.2106
<i>458.sjeng</i>	0.1591	0.2652	0.4394	-
<i>464.h264ref</i>	0.0405	0.0676	0.1120	0.1911
<i>482.sphinx3</i>	0.0660	0.1101	0.1824	0.3113
<i>addr2line</i>	0.0144	0.0240	0.0398	0.0679
<i>ar</i>	0.0138	0.0230	0.0381	0.0650
<i>bfdtest1</i>	0.0147	0.0245	0.0406	0.0693
<i>cxxfilt</i>	0.0144	0.0240	0.0398	0.0679
<i>nm-new</i>	0.0142	0.0236	0.0391	0.0668
<i>objcopy</i>	0.0124	0.0207	0.0342	0.0584
<i>objdump</i>	0.0111	0.0185	0.0307	0.0524
<i>ranlib</i>	0.0138	0.0230	0.0381	0.0650
<i>size</i>	0.0143	0.0239	0.0396	0.0676
<i>strings</i>	0.0144	0.0240	0.0397	0.0678
<i>strip-new</i>	0.0124	0.0207	0.0342	0.0584
<i>ctags</i>	0.0073	0.0121	0.0201	0.0343
<i>lighttpd</i>	0.0722	0.1203	0.1993	0.3402
<i>vsftpd</i>	0.0412	0.0686	0.1137	0.1941
<i>pscp</i>	0.0128	0.0214	0.0355	0.0605
<i>psftp</i>	0.0127	0.0212	0.0351	0.0599
<i>puttygen</i>	0.0238	0.0397	0.0658	0.1124
<i>cgtest</i>	0.0236	0.0393	0.0651	0.1111





**Figure 6: Probability curve for successful attacks, which indicates that the higher number of functions or the lower capacity of a watermark, the lower probability of the attacks.**

function candidates, in contrast, Davidson’s approach predominantly relies on the maximum number of basic blocks within a function. The downside of the latter approach arises from which the largest number of basic blocks has nothing to do with the size of a program, which may not be sufficient to represent a watermark. For example, *403.gcc* has 2.5 times more functions than *400.perlbench*, however, the maximum representable bits is rather 20% smaller. We discuss the capacities of other watermarking techniques that cannot be directly compared with SOFTMARK in §7.

**Table 6: Comparison of capacity (i.e., maximum number of representable bits) between SOFTMARK and Davidson’s approach [22] that relies on the largest block size in a function.**

Program Name	Size (KB)	SOFTMARK		Davidson-Myhrvold	
		Functions	Bits	Basic Blocks	Bits
<i>400.perlbench</i>	1,423	895	7,491	683	5,451
<i>403.gcc</i>	3,728	2,206	21,326	534	4,073
<i>433.milc</i>	148	88	446	20	61
<i>445.gobmk</i>	3,923	857	7,119	135	765
<i>456.hmmmer</i>	339	237	1,532	46	191
<i>458.sjeng</i>	156	78	382	74	357
<i>464.h264ref</i>	685	259	1,708	160	945
<i>482.sphinx3</i>	210	155	909	20	61
<i>addr2line</i>	1,180	704	5,649	142	815
<i>ar</i>	1,213	739	5,982	142	815
<i>bfdtest1</i>	1,165	686	5,479	142	815
<i>cxxfilt</i>	1,179	705	5,659	142	815
<i>nm-new</i>	1,195	721	5,810	142	815
<i>objcopy</i>	1,410	867	7,217	181	1,101
<i>objdump</i>	2,474	988	8,409	186	1,139
<i>ranlib</i>	1,213	739	5,982	142	815
<i>size</i>	1,180	708	5,687	142	815
<i>strings</i>	1,180	705	5,659	142	815
<i>strip-new</i>	1,410	867	7,217	181	1,101
<i>ctags</i>	1,495	1,150	10,039	178	1,078
<i>lighttpd</i>	195	136	772	85	426
<i>vsftpd</i>	118	143	822	87	439
<i>pscp</i>	713	664	5,273	99	518
<i>psftp</i>	722	671	5,338	99	518
<i>puttygen</i>	391	328	2,273	144	829
<i>cgtest</i>	405	335	2,332	141	808

## 6.5 Efficiency

**6.5.1 Size Overhead.** The size of a watermark-inserted binary stays identical because SOFTMARK does not introduce additional structures such as codes, blocks or subroutines to a target program (§4.3). We confirmed that each binary with a watermark for evaluation does not increase a code size.

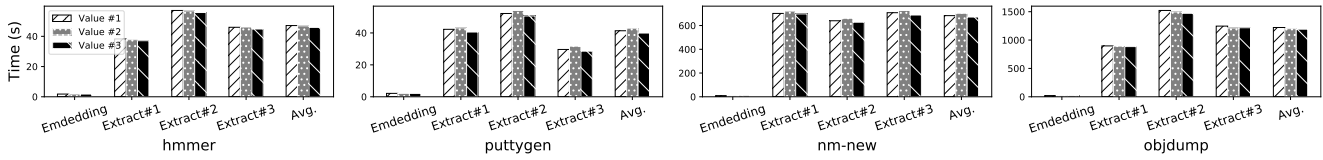
**6.5.2 Performance Overhead.** The rightmost column in Table 4 shows the performance overheads of SPEC CPU2006 binaries after embedding a watermark with SOFTMARK. For each binary, we measured the overall CPU user time for the completion of all internal tests by taking the average time across five runs, using both the original and its corresponding variant with a watermark. The largest overhead is reported with *456.hmmmer*, 1.1%, which is negligible. Interestingly, the performance of *400.perlbench*, *433.milc*, *445.gobmk*, and *458.sjeng* demonstrates slightly better than their original (master) binaries. We attribute those speedups in better caching behavior from a code region due to different code localities after function relocations, which aligns with the results from [35].

**6.5.3 Efficiency of Embedding and Extraction.** We evaluate the efficiency of our watermarking embedding and extraction process. Recall that we operate two different modes for a watermarking extraction depending on the assumption of a binary status: i) unmodified (identical) and ii) modified (compromised). Both cases refer a bookkeeper to identify the location of every function, but SOFTMARK carries out a block search differently; the former employs a regular expression for performance where the latter employs a disassembly for deep binary inspection to recognize code alteration.

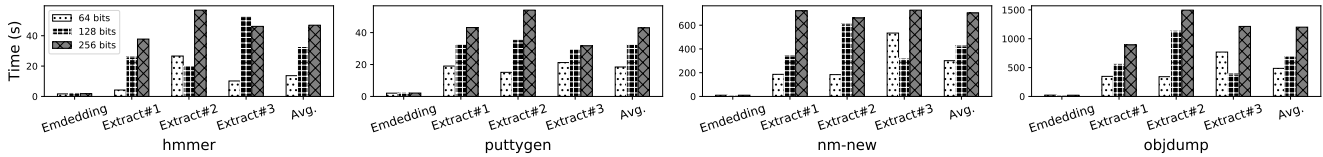
**Unmodified Binaries.** A default watermarking extraction with a regular expression in Table 4 shows pre-analysis, embedding and extraction of three different watermarks. A pre-analysis step examines the property of a function such as its uniqueness and indirect branches within. *403.gcc* takes the longest time; that is, 346, 97, and 5, 5 seconds for pre-analysis, embedding, and extraction, respectively. Except for three programs (*403.gcc*, *445.gobmk*, *objdump*), an embedding process takes less than 20 seconds, which is reasonable in practice. An extraction process takes up to 5.5 seconds where most of cases can be done within a few seconds.

**Modified Binaries.** Watermarking extraction with a disassembly in Table 4 shows that it takes longer time than handling a unmodified binary. This is mainly due to a substantial analysis to investigate potential attacks such as code transformation. A pre-analysis time varies, ranging from 12 seconds for *433.milc* to 55.6 hours (220, 270 seconds) for *403.gcc*, depending on the size of a program. However, it is a one-time processing per each binary. The duration of embedding time is quite close to that of a unmodified binary case. An extraction process also takes longer than embedding, ranging from 5 seconds (*vsftpd*) to 39 minutes (*gcc*).

**Different Values.** We test three watermark values (Value #1-#3) by running inserting and extracting them 10 times. Note that each value can be generated by a software vendor as a secret identifier. Figure 7 and Table 7 depict the results of four representative programs’ results by the number of functions including *456.hmmmer* (237 functions; small), *puttygen* (328 functions; small), *nm-new* (721



**Figure 7: Duration of embedding and extraction in seconds depending on inserting three different watermark values across four binaries. We empirically confirmed that the computational resources are agnostic to the watermark values.**



**Figure 8: Duration of embedding and extraction in seconds depending on the size of a watermark (i.e., 64, 128, and 256 bits) across four binaries. We confirmed that it takes longer time that is closely proportional to the size of the watermark on average.**

**Table 7: Differences in embedding time according to watermark values and size changes (related to Figure 7, 8). Embedding time only shows a difference of less than 1 second on the alteration of a watermark value or size.**

Name	Embedding Value (256 bits)			Embedding Bits		
	Value #1	Value #2	Value #3	64	128	256
<i>456.hmmer</i>	1.8	1.8	1.8	1.7	2.6	1.8
<i>nm-new</i>	10.0	10.4	10.2	10.3	10.2	10.4
<i>objdump</i>	21.5	21.8	21.5	22.5	22.3	21.7
<i>puttygen</i>	2.1	2.1	2.1	2.1	2.2	2.1

functions; medium), and *objdump* (988 functions; large). Empirically, we confirmed that a watermark value does not have large variations for embedding and extraction (Table 7).

**Different Sizes.** As shown in Table 7, embedding time is consistent on the different sizes of watermarking. However, we observe that the extraction of a watermark with a high-data rate takes longer time than that with a low rate. Interestingly, there are a few cases where extracting a 64-bit watermark is slower than 128-bit. It turns out that the functions in a 64-bit watermark contains more basic blocks. This is because our extraction performance depends on the complexity of a function for function identification. In general, embedding a larger watermark requires more functions, increasing the chance of dealing with a complicated function.

### 6.6 Imperceptibility

As discussed in §4, SOFTMARK does not add any explicit structure to a binary, which remains little information behind. Hence, inspecting a single mutation would not reveal any sign of a watermark. However, the parties in collusion who are aware of the principle of our watermark scheme (i.e., reordering-based) may learn the presence of a watermark by collecting multiple instances. Although SOFTMARK is equipped with several techniques to complicate function recognition (e.g., by basic block reordering within a function

when generating a variant), a collusive attack can eventually thwart imperceptibility.

## 7 DISCUSSION AND LIMITATION

This section discusses future research and limitations of our work.

**Binary Packing.** Every watermark scheme on a binary code would be affected by binary packing because it involves with a widely destructive process for a code region. When a program with a watermark is packed, it is required to unpack/dump the program on memory at runtime, followed by performing watermark verification on top of the dumped code. If unpacking were failed (e.g., a customize packer), SOFTMARK cannot reveal a watermark.

**Semantic-preserving Code Transformation.** ORP [45] proposes four in-place code randomization techniques without maintaining the size of a program: ① instruction substitution where an adversary replaces an instruction with another that is semantically equivalent, ② instruction reordering within a basic block by pre-computing possible orderings of given instructions, ③ register reordering with the pair registers on the stack for a function prologue (e.g., push) and epilogue (e.g., pop), and ④ register reassignment by reallocating swappable registers with pre-computing live regions in a function. As stated in §6, our scheme (even with multiple watermarks) cannot fully thwart such semantic-preserving code transformation attacks by altering opcodes (① and ②), which we leave part of our future work. An instruction displacement technique [36] demonstrates another possible code transformation but it alters a program control flow with a jmp and its size, which can be easily perceptible. Egalito [40] allows arbitrary modification at a binary level with a layout-agnostic intermediate representation, however, it only supports a position-independent executable (PIE) for rewriting a binary. Besides, SOFTMARK can still judge a given program that a watermark has been corrupted when it may have failed the watermark extraction.

**Collision with a Function Relocation.** Note that even for an attacker who can reorder functions, the probability of finding a successful collision (i.e., legitimate entry) is extremely low without

a ledger. The estimated time for an accidental collision with a brute-forcing attack can be computed as the following equation:

$$\frac{\# \text{ of all possible cases} \times \text{binary instrumentation time}}{\# \text{ of valid watermarks (collision)}} \times \frac{1}{2} \quad (2)$$

Note that we divide in half due to a 50% chance with a linear search. Assuming a computation power with Intel i7-6700 3.40 GHz and 8GB RAM for binary rewriting and a million watermarks (i.e., valid copies) available, it would take  $2.30 \times 10^{99}$  years for 458. s.jeng. It is noteworthy mentioning that both SOFTMARK and code-signing can effectively thwart any attempt pertaining to code transformation.

**Constraints on Function Relocation with CCR.** To avoid introducing new instructions for binary instrumentation, CCR inherently restricts the positions for relocating functions when the size of a reference (e.g., operand for a relative jump or call) is not large enough (e.g., one or two bytes). We obey the same constraint with CCR, however, the rate of such limited relocations is small (around 1%), which rarely affects the capacity of SOFTMARK. For example, 403. gcc in our dataset has 51 out of 4,329 functions (1.12%) were constrained by this limitation.

**Capacity of Other Existing Techniques.** Along with §6.4, we discuss the capacity of other software watermarking techniques that cannot be directly compared to SOFTMARK. Sha et al. [52] leverages an equation’s operand coefficient to encode a watermark in Java programs, whose data rate is comparable to SOFTMARK because it uses a permutation of the coefficient. A branched-based technique [26, 43] relies on the number of branch instructions for embedding a watermark. Although it could hold a higher data rate even for a small program that contains many branches, the possible encoding capacity overall may be fluctuating. Meanwhile, an obfuscation-based approach [68] defines a hard-coded limit of 1,000 different instruction groups (i.e., 1,000 bits), which is difficult to be expanded. Several other works [18, 20, 21, 57] demonstrate a scheme that allows one to embed a unlimited watermark in size by adding additional data or method into a binary. However, such approaches are highly susceptible to be perceptible and thus easily eliminated. A graph-based approach [12, 14, 46, 59, 65, 69] generates a topological structure at runtime when a certain input is given. While they have unlimited capacity since they explicitly add code segment for the watermark, they are trivially detectable due to the added code and data.

## 8 RELATED WORK

A variety of software watermarking schemes have been proposed for the last two decades [23, 28, 70]. Software watermark technique can be classified as either static [4, 22, 30, 41, 51, 53, 55] or dynamic [14, 18] according to the way of extraction, that is, a static watermarking does not need to run a program whereas dynamic watermarking does because a watermark can be extracted at runtime (i.e., the execution state of the program). Note that static watermarking is more common because it is relatively handy. In this section, we outline a major approaches for software watermarking techniques and CCR [35], a compiler-rewriter model for our static binary instrumentation.

**Reordering-based Approach.** Diversifying code is one of promising techniques for securing and protecting software since early

days. The idea of early patents [30, 51] places an identifier into a pre-determined (and random) location of code or data. Similarly, Davidson et al. [22] introduces a means of inserting a signature by relocating a group of pre-selected basic blocks. Shirali-Shahreza et al. [55] suggest an equation reordering technique that swaps the safe operands of mathematical equation in source code, and later FDOS [53] introduces a scheme of function dependency-oriented sequencing on top of reordering equations. Although the basic idea of “reordering” aligns with our SOFTMARK, the above approaches are susceptible for i) revealing (resiliency) as it merely relies on localized piece of code; ii) being removed as a watermark is not widely spread (i.e., poor part protection), and iii) insufficient data rate as it depends on the largest component (e.g., number of functions or operands) that limits encoding bits, and iv) reliable binary instrumentation when inserting a watermark at a binary level lacks [22].

**Graph-based Approach.** Another line of static watermarking is based on a graph theory [14, 32, 48, 49]. Qu et al. [48] apply a graph coloring (GC) problem to a register allocation of variables, which inserts a watermark by adding edges in a given graph of  $G(V, E)$ . Later, Jiang et al. [32] presents a software watermarking scheme based on public-key cryptograph with GC. However, graph coloring has no efficient algorithm (known NP complete problem). Collberg et al. [14] proposed a dynamic watermarking technique (dubbed CT) that is stored in the execution state of a program (e.g., through a graph structure on the heap).

**Obfuscation-based Approach.** Balachandran et al. [4] suggest an obfuscation algorithm that interlaces blocks across functions with anti-disassembly techniques for concealing them. Monden et al. [41] demonstrates the insertion of watermark into dummy methods and opaque predicates in Java programs. Myles et al. [42] carefully analyze the effectiveness between the Davidson’s reordering-based approach [22] and Monden’s obfuscation-based approach [41] with actual implementations using the Sandmark tool [13]. Lu et al. [39] propose an obfuscation-based steganography technique by leveraging ROP gadgets to embed certain information that can be extracted at runtime by running the ROP gadgets. While steganography has a slightly different purpose from watermarking, we believe it can also be used to implement a watermark.

**Other Approaches.** A spread-spectrum watermarking scheme [20, 57] has been suggested from the signal detection model in multimedia watermarking, extracting a vector from the properties of a running program (e.g., call graph depth). Preda et al. [21] presents a formal framework for modeling a software watermarking technique at a semantic level by viewing attackers as abstract interpreters. Cousot et al. [18] introduces a dynamic watermark scheme that leverages abstract interpretation to insert a watermark into values that are assigned to local variables at runtime. Nagra et al. [44] suggest a precise taxonomy in the area of software watermarking.

**Compiler-assisted Code Randomization.** Relocating functions from a stripped binary is, in general, non-trivial because of imprecise disassembly [2], binary function recognition [1, 3, 6, 47, 54, 61], and varying optimizations at compilation. To this end, we adopt a compiler-rewriter cooperation approach [35] that allows for robust and fast code transformation. Simply put, it stores a minimal set of supplementary information (including a layout, basic block,

and fixup or reference that must be adjusted after function displacement) into a master binary as metadata, enabling us to carry out static binary instrumentation without recompilation [35, 50] on demand. The master executable is maintained along with watermarking information by a program owner where those who purchase the software possess a mutant (*i.e.*, reordered version) with a watermark alone.

## 9 CONCLUSION

In this paper, we propose a function reordering-based software watermarking technique, SOFTMARK. It embeds a watermark, mapping every order of certain functions into a hidden identifier. SOFTMARK does not introduce any additional code or data, making it more stealthier than existing approaches while achieving other properties including resiliency, capacity and efficiency for a robust watermark scheme. Our analysis results show that SOFTMARK is resilient to varying attacks while maintaining a negligible performance overhead and reasonable capacity. Our empirical evaluation on 26 binaries (from eight SPEC CPU2006 programs and 18 real-world programs) demonstrates that SOFTMARK is highly practical and effective in embedding and extracting a watermark.

## ACKNOWLEDGMENTS

We thank the anonymous referees and our shepherd Sang Kil Cha for their constructive feedback. This work was supported by Institute for Information & communication Technology Promotion (IITP) grant funded by the Korea government (MSIT) (No. 2019-0-01343, Regional strategic industry convergence security core talent training business), NSF under awards 1916499, 1908021, and 1850392. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the sponsor.

## REFERENCES

- [1] Jim Alves-Foss and Jia Sone. 2019. Function Boundary Detection in Stripped Binaries. In *35th Annual Computer Security Applications Conference (ACSAC '19)*.
- [2] Dennis Andriess, Xi Chen, Victor Van Der Veen, Asia Slowinska, and Herbert Bos. 2016. An In-Depth Analysis of Disassembly on Full-Scale X86/X64 Binaries. In *25th USENIX Security Symposium (USENIX '16)*.
- [3] Dennis Andriess, Asia Slowinska, and Herbert Bos. 2017. Compiler-agnostic Function Detection in Binaries. In *2017 IEEE European Symposium on Security and Privacy (EuroS&P '17)*. IEEE, 177–189.
- [4] Vivek Balachandran, Ng Wee Keong, and Sabu Emmanuel. 2014. Function Level Control Flow Obfuscation for Software Security. *Proceedings - 2014 8th International Conference on Complex, Intelligent and Software Intensive Systems, CISIS 2014*, 133–140. <https://doi.org/10.1109/CISIS.2014.20>
- [5] Sebastian Banescu, Alexander Pretschner, Dominic Battré, Stefano Cazzulani, Robert Shield, and Greg Thompson. 2015. Software-based Protection against Changeware. *CODASPY 2015 - Proceedings of the 5th ACM Conference on Data and Application Security and Privacy*, 231–242. <https://doi.org/10.1145/2699026.2699099>
- [6] Tiffany Bao, Jonathan Burket, Maverick Woo, Rafael Turner, and David Brumley. 2014. BYTEWEIGHT: Learning to Recognize Functions in Binary Code. In *23rd USENIX Security Symposium (USENIX '14)*. 845–860.
- [7] Eli Bendersky. 2021. `pyelftools`. <https://github.com/eliben/pyelftools>.
- [8] Matt Bishop and Carrie Gates. 2008. Defining the Insider Threat. In *Proceedings of the 4th Annual Workshop on Cyber Security and Information Intelligence Research: Developing Strategies to Meet the Cyber Security and Information Intelligence Challenges Ahead* (Oak Ridge, Tennessee, USA) (CSIRW '08). Association for Computing Machinery, New York, NY, USA, Article 15, 3 pages. <https://doi.org/10.1145/1413140.1413158>
- [9] Jorge Blasco, Julio Cesar Hernandez-Castro, Juan E Tapiador, and Arturo Ribagorda. 2012. Bypassing Information Leakage Protection with Trusted Applications. *Computers & Security* 31, 4 (2012), 557–568.
- [10] Business Software Alliance. 2018. Software Management: Security Imperative, Business Opportunity. Global Software Survey (2018), 24.
- [11] Zhe Chen, Zhi Wang, and Chunfu Jia. 2018. Semantic-integrated Software Watermarking with Tamper-proofing. *Multimedia Tools and Applications* 77, 9 (2018), 11159–11178. <https://doi.org/10.1007/s11042-017-5373-7>
- [12] Christian Collberg, Stephen Kobourov, Edward Carter, and Clark Thomborson. 2003. Error-correcting Graphs for Software Watermarking. In *Proceedings of the 29th workshop on graph theoretic concepts in computer science*. Springer, 156–167.
- [13] C. Collberg, G.R. Myles, and A. Huntwork. 2003. Sandmark-A Tool for Software Protection Research. *IEEE Security & Privacy* 1, 4, 40–49. <https://doi.org/10.1109/MSECP.2003.1219058>
- [14] Christian Collberg and Clark Thomborson. 1999. Software Watermarking: Models and Dynamic Embeddings. In *Proceedings of the 26th ACM SIGPLAN-SIGACT symposium on Principles of programming languages - POPL '99*. ACM Press, New York, New York, USA, 311–324. <https://doi.org/10.1145/292540.292569>
- [15] C.S. Collberg and Clark Thomborson. 2002. Watermarking, Tamper-proofing, and Obfuscation - Tools for Software Protection. *IEEE Transactions on Software Engineering* 28, 8 (Aug 2002), 735–746. <https://doi.org/10.1109/TSE.2002.1027797>
- [16] C Collberg, C Thomborson, and D Low. 1997. *A Taxonomy of Obfuscating Transformations*. Technical Report 148. 36 pages. <https://researchspace.auckland.ac.nz/handle/2292/3491>
- [17] Standard Performance Evaluation Corporation. 2021. SPEC CPU® 2006. <https://www.spec.org/cpu2006/>.
- [18] Patrick Cousot and Radhia Cousot. 2004. An abstract interpretation-based framework for software watermarking. In *ACM SIGPLAN Notices*. 173–185.
- [19] Universal ctags organization. 2021. Universal Ctags. <https://ctags.io/>.
- [20] D. Curran, N.J. Hurley, and M. O Cinneide. 2003. Securing Java through software watermarking. In *Proceedings of the 2nd international conference on Principles and practice of programming in Java (PPPJ '03)*. 145–148.
- [21] Mila Dalla Preda and Michele Pasqua. 2017. Software Watermarking: A Semantics-based Approach. *Electronic Notes in Theoretical Computer Science* 331 (2017), 71–85. <https://doi.org/10.1016/j.entcs.2017.02.005>
- [22] Robert I. Davidson and Nathan Myhrvold. 1996. Method and System for Generating and Auditing a Signature for a Computer Program. <http://www.google.com/patents/US5559884>
- [23] Ayan Dey, Sukriti Bhattacharya, and Nabendu Chaki. 2019. Software Watermarking: Progress and Challenges. *INAE Letters* 4, 1 (2019), 65–75. <https://doi.org/10.1007/s41403-018-0058-8>
- [24] Capstone-The Ultimate Disassembly Framework. 2021. Capstone-Engine. <https://www.capstone-engine.org/>.
- [25] GNU. 2021. GNU Binutils. <https://www.gnu.org/software/binutils/>.
- [26] Gaurav Gupta and Josef Pieprzyk. 2007. Software watermarking Resilient to Debugging Attacks. *Journal of Multimedia* 2, 2 (2007), 10–16. <https://doi.org/10.4304/jmm.2.2.10-16>
- [27] Gael Hachez. 2003. *A Comparative Study of Software Protection Tools Suited for E-Commerce with Contributions to Software Watermarking and Smart Cards*. Ph.D. Dissertation. Université Catholique de Louvain.
- [28] James Hamilton and Sebastian Danicic. 2011. A Survey of Static Software Watermarking. In *2011 World Congress on Internet Security (WorldCIS-2011)*. IEEE, 100–107.
- [29] Hex-Rays. 2021. IDA Pro Disassembler. <https://www.hex-rays.com/idapro/>.
- [30] Keith Holmes. 1994. Computer Software Protection. <http://www.google.com/patents/US5287407>
- [31] Shohreh Hosseinzadeh, Sampsa Rauti, Samuel Laurén, Jari Matti Mäkelä, Johannes Holvitie, Sami Hyrynsalmi, and Ville Leppänen. 2018. Diversification and Obfuscation Techniques for Software Security: A Systematic Literature Review. *Information and Software Technology* 104, May 2017 (2018), 72–93. <https://doi.org/10.1016/j.infsof.2018.07.007>
- [32] Zetao Jiang, Rubing Zhong, and Bina Zheng. 2009. A Software Watermarking Method Based on Public-Key Cryptography and Graph Coloring. In *2009 Third International Conference on Genetic and Evolutionary Computing*. 433–437.
- [33] Yuichiro Kanzaki, Akito Monden, Masahide Nakamura, and Ken'ichi Matsumoto. 2006. A Software Protection Method based on Instruction Camouflage. *Electronics and Communications in Japan, Part III: Fundamental Electronic Science (English translation of Denshi Tsushin Gakkai Ronbunshi)* 89, 1 (2006), 47–59. <https://doi.org/10.1002/ecjc.20141>
- [34] Doowon Kim, Bum Jun Kwon, and Tudor Dumitraş. 2017. Certified Malware: Measuring Breaches of Trust in the Windows Code-Signing PKI. In *Proceedings of the 2017 ACM SIGSAC Conference on Computer and Communications Security*. ACM, New York, NY, USA, 1435–1448. <https://doi.org/10.1145/3133956.3133958>
- [35] Hyungjoon Koo, Yaohui Chen, Long Lu, Vasileios P. Kemerlis, and Michalis Polychronakis. 2018. Compiler-Assisted Code Randomization. *Proceedings - IEEE Symposium on Security and Privacy* 2018-May, 461–477. <https://doi.org/10.1109/SP.2018.00029>
- [36] Hyungjoon Koo and Michalis Polychronakis. 2016. Juggling the gadgets: Binary-level Code Randomization using Instruction Displacement. In *Proceedings of the 11th ACM Asia Conference on Computer and Communications Security (ASIACCS)*. 23–34.

- [37] Platon Kotzias, Srdjan Matic, Richard Rivera, and Juan Caballero. 2015. Certified PUP: Abuse in Authenticode Code Signing. In *Proceedings of the 22nd ACM SIGSAC Conference on Computer and Communications Security*. ACM, New York, NY, USA, 465–478. <https://doi.org/10.1145/2810103.2813665>
- [38] Lighttpd. 2021. Lightweight HTTP daemon for security, speed, compliance, and flexibility. <https://www.lighttpd.net/>.
- [39] Kangjie Lu, Siyang Xiong, and Debin Gao. 2014. RopSteg: Program Steganography with Return Oriented Programming. In *Proceedings of the 4th ACM Conference on Data and Application Security and Privacy* (San Antonio, Texas, USA) (CODASPY '14). Association for Computing Machinery, New York, NY, USA, 265–272. <https://doi.org/10.1145/2557547.2557572>
- [40] Lannan Luo, Jiang Ming, Dinghao Wu, Peng Liu, and Sencun Zhu. 2020. Egalito: Layout-Agnostic Binary Recompile. In *Proceedings of the 25th International Conference on Architectural Support for Programming Languages and Operating Systems*. 133–147.
- [41] A. Monden, H. Iida, K. Matsumoto, K. Inoue, and K. Torii. 2000. A Practical Method for Watermarking Java Programs. In *Proceedings 24th Annual International Computer Software and Applications Conference. (COMPSAC 2000)*. 191–197. <https://doi.org/10.1109/CMPSAC.2000.884716>
- [42] Ginger Myles, Christian Collberg, Zachary Heidepriem, and Armand Navabi. 2005. The Evaluation of Two Software Watermarking Algorithms. *Software - Practice and Experience* 35, 10 (2005), 923–938. <https://doi.org/10.1002/spe.657>
- [43] Ginger Myles and Hongxia Jin. 2005. Self-validating Branch-based Software Watermarking. In *International Workshop on Information Hiding*. Springer, 342–356.
- [44] Jasvir Nagra, Clark Thomborson, and Christian Collberg. 2002. A Functional Taxonomy for Software Watermarking. *Aust. Comput. Sci. Commun.* 24, 1 (2002), 177–186.
- [45] Vasilis Pappas, Michalis Polychronakis, and Angelos D. Keromytis. 2012. Smashing the Gadgets: Hindering Return-Oriented Programming Using In-Place Code Randomization. In *Proceedings of the 33rd IEEE Symposium on Security & Privacy (S&P)*. 601–615.
- [46] Chaofan Peng and Qinglei Zhou. 2013. An IPPCT Dynamic Watermarking Scheme Based on Chinese Remainder Theorem. In *2013 International Conference on Computational and Information Sciences*. IEEE, 167–170.
- [47] Rui Qiao and R Sekar. 2017. Function Interface Analysis: A Principled Approach for Function Recognition in COTS Binaries. In *47th International Conference on Dependable Systems and Networks (DSN '17)*.
- [48] Gang Qu and Miodrag Potkonjak. 1998. Analysis of Watermarking Techniques for Graph Coloring. In *1998 IEEE/ACM International Conference on Computer Aided Design*. IEEE, 190–193.
- [49] Gang Qu and Miodrag Potkonjak. 2000. Hiding Signatures in Graph Coloring Solutions. *Lecture Notes in Computer Science (including subseries Lecture Notes in Artificial Intelligence and Lecture Notes in Bioinformatics)* 1768 (2000), 348–367. [https://doi.org/10.1007/10719724\\_24](https://doi.org/10.1007/10719724_24)
- [50] Anh Quach, Aravind Prakash, and Lok Yan. 2018. Debloating Software through Piece-wise Compilation and Loading. In *27th USENIX Security Symposium (USENIX '18)*. 869–886.
- [51] Peter R. Samson. 1994. Apparatus and Method for Serializing and Validating Copies of Computer Software. <http://www.google.com/patents/US5287408A>
- [52] Zonglu Sha, Hua Jiang, and Aicheng Xuan. 2009. Software Watermarking Algorithm by Coefficients of Equation. *3rd International Conference on Genetic and Evolutionary Computing, WGEC 2009*, 410–413. <https://doi.org/10.1109/WGEC.2009.18>
- [53] B. K. Sharma, R. P. Agarwal, and Raghuraj Singh. 2012. An Efficient Software Watermark by Equation Reordering and FDOS. *Advances in Intelligent and Soft Computing* 131 AISC, VOL. 2 (2012), 735–745. [https://doi.org/10.1007/978-81-322-0491-6\\_67](https://doi.org/10.1007/978-81-322-0491-6_67)
- [54] Eui Chul Richard Shin, Dawn Song, and Reza Moazzezi. 2015. Recognizing Functions in Binaries with Neural Networks. In *24th USENIX Security Symposium (USENIX '15)*. 611–626.
- [55] Mohammad Shirali-Shahreza and Sajad Shirali-Shahreza. 2008. Software Watermarking by Equation Reordering. *2008 3rd International Conference on Information and Communication Technologies: From Theory to Applications, ICTTA*. <https://doi.org/10.1109/ICTTA.2008.4530357>
- [56] Dannie M. Stanley, Dongyan Xu, and Eugene H. Spafford. 2013. Improved Kernel Security through Memory Layout Randomization. *2013 IEEE 32nd International Performance Computing and Communications Conference, IPCCC 2013*. <https://doi.org/10.1109/PCCC.2013.6742768>
- [57] Julien P Stern, Gaël Hachez, François Koeune, and Jean-Jacques Quisquater. 2000. Robust Object Watermarking: Application to Code. In *Information Hiding*. Springer Berlin Heidelberg, 368–378.
- [58] Simon Tatham. 2021. SSH client. <https://www.putty.org>.
- [59] Ramarathnam Venkatesan, Vijay Vazirani, and Saurabh Sinha. 2001. A Graph Theoretic Approach to Software Watermarking. In *International Workshop on Information Hiding*. Springer, 157–168.
- [60] Vsftpd. 2021. A GPL licensed FTP server for UNIX systems. <https://security.appspot.com/vsftpd.html>.
- [61] Shuai Wang, Pei Wang, and Dinghao Wu. [n. d.]. Semantics-Aware Machine Learning for Function Recognition in Binary Code. In *33rd IEEE International Conference on Software Maintenance and Evolution (ICSME '17)*.
- [62] Shuai Wang, Pei Wang, and Dinghao Wu. 2017. Composite Software Diversification. *Proceedings - 2017 IEEE International Conference on Software Maintenance and Evolution, ICSME 2017*, 284–294. <https://doi.org/10.1109/ICSME.2017.61>
- [63] Wikipedia. 2009. Stirling's approximation. [https://en.wikipedia.org/wiki/Stirling's approximation](https://en.wikipedia.org/wiki/Stirling%27s_approximation).
- [64] Wikipedia. 2021. Stuxnet. <https://en.wikipedia.org/wiki/Stuxnet>.
- [65] Siqing Xue, Chunjiao He, and Jun Song. 2015. An Improved PPCT Based Dynamic Graph Software Watermarking Scheme. In *2015 Fifth International Conference on Instrumentation and Measurement, Computer, Communication and Control (IMCCC)*. IEEE, 825–829.
- [66] Xinlei Yao, Jianmin Pang, Yichi Zhang, Yong Yu, and Jianping Lu. 2012. A Method and Implementation of Control Flow Obfuscation using SEH. *Proceedings - 2012 4th International Conference on Multimedia and Security, MINES 2012*, 336–339. <https://doi.org/10.1109/MINES.2012.25>
- [67] Ying Zeng, Fenlin Liu, Xiangyang Luo, and Chunfang Yang. 2010. Robust Software Watermarking Scheme based on Obfuscated Interpretation. *Proceedings - 2010 2nd International Conference on Multimedia Information Networking and Security, MINES 2010*, 671–675. <https://doi.org/10.1109/MINES.2010.146>
- [68] Ying Zeng, Fenlin Liu, Xiangyang Luo, and Chunfang Yang. 2011. Software Watermarking through Obfuscated Interpretation: Implementation and Analysis. *Journal of Multimedia* 6, 4 (2011), 329–340. <https://doi.org/10.4304/jmm.6.4.329-340>
- [69] Jianqi Zhu, Yanheng Liu, and Kexin Yin. 2009. A Novel Dynamic Graph Software Watermark Scheme. *Proceedings of the 1st International Workshop on Education Technology and Computer Science, ETCS 2009* 3, 775–780. <https://doi.org/10.1109/ETCS.2009.709>
- [70] William Zhu, Clark Thomborson, and Fei-Yue Wang. 2005. A Survey of Software Watermarking. 454–458. [https://doi.org/10.1007/11427995\\_42](https://doi.org/10.1007/11427995_42)